

A Modified Similarity Degree for C*-algebras

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ABSTRACT. We define variants of Pisier's similarity degree for unital C*-algebras and use direct integral theory to obtain new results. We prove that if every II_1 factor representation of a separable C*-algebra \mathcal{A} has property Γ , then the similarity degree of \mathcal{A} is at most 11.

G. Pisier's *similarity degree* [10]-[14] has been one of the most far-reaching advances on R. Kadison's similarity problem [7], which asks whether every bounded homomorphism ρ from a C*-algebra \mathcal{A} into the operators on a Hilbert space must be similar to a *-homomorphism. Many classical results on the similarity degree are contained in [14]. There has been some recent interest on this subject [16], [8], [6], [9], [4].

In this paper we define two variants of G. Pisier's similarity degree [10]-[14], one for C*-algebras and one for von Neumann algebras. Our main result (Theorem 8) relates our C*-invariant for a separable unital C*-algebra to the supremum of the W*-invariant of all the II_1 factor representations of the algebra. This result yields bounds on the similarity degree in some new cases, including some crossed products and the class of separable unital C*-algebras whose II_1 factor representations all have property Γ .

It was shown by U. Haagerup [2] (see also the union of [3] and [18]) that a bounded homomorphism ρ on a C*-algebra is similar to a *-homomorphism if and only if it is completely bounded, i.e., $\|\rho\|_{cb} = \sup_{n \in \mathbb{N}} \|\rho_n\| < \infty$, where ρ_n is defined on $\mathcal{M}_n(\mathcal{A})$ by

$$\rho_n([a_{ij}]) = [\rho(a_{ij})]$$

for every $[a_{ij}] \in \mathcal{M}_n(\mathcal{A})$.

G. Pisier defined the *similarity degree* of \mathcal{A} , denoted by $d(\mathcal{A})$ to be the smallest positive integer d (if one exists) for which there is a positive number κ such that, for every unital C*-algebra \mathcal{B} and every bounded unital homomorphism $\rho : \mathcal{A} \rightarrow \mathcal{B}$ we have

$$\|\rho\|_{cb} \leq \kappa \|\rho\|^{d(\mathcal{A})}.$$

If there is no such pair d, κ , we define $d(\mathcal{A}) = \infty$. We denote the smallest κ by $\kappa(\mathcal{A})$.

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There has been much attention focused on the similarity degree without much attention to κ . It is known [14] that when \mathcal{A} is finite-dimensional, $d(\mathcal{A}) = 1$ and that when \mathcal{A} is infinite-dimensional, then $d(\mathcal{A}) \geq 2$. It follows, for example that, for any strictly increasing sequence $\{\mathcal{A}_n\}$ of finite-dimensional C^* -algebras, $d(\mathcal{A}_n) = 1$ for every $n \in \mathbb{N}$, but $\kappa(\mathcal{A}_n) \rightarrow \infty$, otherwise there would be an infinite-dimensional direct limit \mathcal{A} with $d(\mathcal{A}) = 1$. Hence determining the similarity degree of a direct sum or direct limit of C^* -algebras with the same similarity degree is difficult, without also controlling the κ 's. This motivates us to introduce a new invariant that incorporates both d and κ .

DEFINITION 1. We define the modified similarity degree $\hat{d}(\mathcal{A})$ of \mathcal{A} to be the smallest positive number $\gamma \geq d(\mathcal{A})$ such that, for every bounded unital algebra homomorphism $\rho : \mathcal{A} \rightarrow B(H)$, we have

$$\|\rho\|_{cb} \leq \gamma \|\rho\|^\gamma.$$

DEFINITION 2. If \mathcal{A} is a von Neumann algebra, we similarly define $\hat{d}_*(\mathcal{A})$ to be the smallest positive number γ such that

$$\|\rho\|_{cb} \leq \gamma \|\rho\|^\gamma$$

whenever a bounded unital homomorphism $\rho : \mathcal{A} \rightarrow B(H)$ is ultra*strong-ultra*strong continuous on the closed unit ball of \mathcal{A} , equivalently, ultrastrong-ultrastrong continuous on the closed unit ball of $\mathcal{A}^{sa} = \{\operatorname{Re} a : a \in \mathcal{A}\}$.

The following result is elementary.

LEMMA 3. Suppose \mathcal{A} is a unital C^* -algebra. Then

- (1) If \mathcal{J} is a closed $*$ -ideal in \mathcal{A} , then

$$\hat{d}(\mathcal{A}/\mathcal{J}) \leq \hat{d}(\mathcal{A})$$

- (2)

$$d(\mathcal{A}) \leq \hat{d}(\mathcal{A}) \leq \max(d(\mathcal{A}), \kappa(\mathcal{A})).$$

- (3) If \mathcal{A} is the norm closure of the union of an increasingly directed family $\{\mathcal{A}_\lambda : \lambda \in \Lambda\}$ of unital C^* -algebras, then

$$\hat{d}(\mathcal{A}) \leq \liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda).$$

- (4) If, in statement (3) above, \mathcal{A} is the weak operator closure (or, strong operator closure) of the union of the \mathcal{A}_λ 's, then

$$\hat{d}_*(\mathcal{A}) \leq \liminf_{\lambda} \hat{d}_*(\mathcal{A}_\lambda'') \leq \liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda).$$

PROOF. (1) and (2) are obvious.

(3). If $\rho : \mathcal{A} \rightarrow B(H)$ is a bounded unital homomorphism, then, $\forall n \in \mathbb{N}$,

$$\begin{aligned} \|\rho_n\| &= \lim_{\lambda} \|\rho_n|_{\mathcal{A}_\lambda}\| \leq \lim_{\lambda} \|\rho_n|_{\mathcal{A}_\lambda}\|_{cb} \\ &\leq \liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda) \|\rho|_{\mathcal{A}_\lambda}\|^{\hat{d}(\mathcal{A}_\lambda)} \\ &\leq \liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda) \|\rho\|^{\hat{d}(\mathcal{A}_\lambda)} \\ &\leq \left(\liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda) \right) \|\rho\|^{\liminf_{\lambda} \hat{d}(\mathcal{A}_\lambda)}. \end{aligned}$$

Since $\|\rho\|_{cb} = \sup_{n \in \mathbb{N}} \|\rho_n\|$, the desired result is proved.

(4). Let \mathcal{B} be the norm closure of the union of the \mathcal{A}_λ 's. If $\rho : \mathcal{A} \rightarrow B(H)$ is a unital homomorphism that is ultrastrong-ultrastrong continuous on the closed unit ball of \mathcal{A}^{sa} , then it follows from the Kaplansky density theorem that $\|\rho\| = \|\rho|_{\mathcal{B}}\|$ and $\|\rho\|_{cb} = \|\rho|_{\mathcal{B}}\|_{cb}$. The rest follows from (3). \square

COROLLARY 4. *Suppose \mathcal{M} is a von Neumann Algebra. Then*

$$\hat{d}_*(\mathcal{M}) \leq \inf \left\{ \hat{d}(\mathcal{A}) : \mathcal{A} \subseteq \mathcal{M}, \mathcal{A} \text{ a } C^*\text{-algebra, } \mathcal{A}'' = \mathcal{M} \right\}.$$

It was shown by U. Haagerup [2] that if a unital C^* -algebra has no tracial states, then $d(\mathcal{A}) = 3$ with $\kappa = 1$, which implies $\hat{d}(\mathcal{A}) \leq 3$. Hence if \mathcal{M} is a type I_∞ , type II_∞ or type III factor, then $d(\mathcal{M}) = \hat{d}(\mathcal{M}) = 3$. In particular, $\hat{d}(\mathcal{B}(\ell^2)) = 3$. We see that \hat{d}_* does a little better.

COROLLARY 5. *If \mathcal{M} is a hyperfinite von Neumann algebra, then $\hat{d}_*(\mathcal{M}) \leq 2$.*

COROLLARY 6. *Suppose \mathcal{A} is a unital C^* -algebra. Then*

$$\hat{d}(\mathcal{A}) = \hat{d}_*(\mathcal{A}^{\#\#}).$$

If τ is a tracial state on a unital C^* -algebra \mathcal{A} , we let $L^2(\mathcal{A}, \tau)$ denote the Hilbert space induced by the inner product $\langle a, b \rangle = \tau(b^*a)$ and let $\pi_\tau : \mathcal{A} \rightarrow B(L^2(\mathcal{A}, \tau))$ be the GNS representation on \mathcal{A} defined by

$$\pi_\tau(a)(b) = ab$$

whenever $a, b \in \mathcal{A}$. We define

$$\mathcal{M}_\tau(\mathcal{A}) = \pi_\tau(\mathcal{A})''$$

be the von Neumann algebra generated by $\pi_\tau(\mathcal{A})$. It is known (e.g., see [5]) that τ is an extreme point of the set of tracial states on \mathcal{A} if and only if $\mathcal{M}_\tau(\mathcal{A})$ is a finite factor von Neumann algebra, and, in this case we call τ a *factor tracial state*.

DEFINITION 7. *We define the modified tracial similarity degree of a unital C^* -algebra \mathcal{A} as*

$$\hat{d}_{tr}(\mathcal{A}) = \sup \left\{ \hat{d}_*(\mathcal{M}_\tau(\mathcal{A})) : \tau \text{ is a factor tracial state of } \mathcal{A} \right\}.$$

Our main result explicitly shows how finding \hat{d} for separable C^* -algebras reduces to finding \hat{d}_* for II_1 factor von Neumann algebras.

THEOREM 8. *Suppose \mathcal{A} is a separable unital C^* -algebra. Then,*

$$d(\mathcal{A}) \leq \hat{d}(\mathcal{A}) \leq 2 + 3 \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right).$$

In particular, for every unital bounded homomorphism $\rho : \mathcal{A} \rightarrow B(\ell^2)$

$$\|\rho\|_{cb} \leq \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right) \|\rho\|^{2+3 \max(3, \hat{d}_{tr}(\mathcal{A}))}.$$

PROOF. Suppose $\rho : \mathcal{A} \rightarrow B(H)$ is a unital faithful $*$ -homomorphism where H is a separable infinite-dimensional Hilbert space. By replacing ρ with $\rho^{(\infty)} = \rho \oplus \rho \oplus \cdots$, we can assume that ρ is unitarily equivalent to $\rho \oplus \rho \oplus \cdots$. We can extend ρ to a normal unital homomorphism $\hat{\rho} : \mathcal{A}^{\#\#} \rightarrow B(H)$ such that $\hat{\rho}|_{\mathcal{A}} = \rho$ and such that $\hat{\rho}$ is unitarily equivalent to $\hat{\rho}^{(\infty)}$. Since $\ker \hat{\rho}$ is a weak*-closed ideal in the von Neumann algebra $\mathcal{A}^{\#\#}$, there is a central projection $Q \in \mathcal{A}^{\#\#}$ such that $\ker \hat{\rho} = (1 - Q)\mathcal{A}^{\#\#}$. Let $\mathcal{M} = Q\mathcal{A}^{\#\#}$. Since $\hat{\rho}$ is normal, we see that $\hat{\rho}(\mathcal{M})$ is weak*-closed (Krein-Shmulyan) and $\sigma = \hat{\rho}|_{\mathcal{M}} : \mathcal{M} \rightarrow \hat{\rho}(\mathcal{M})$ is a weak*-weak* homeomorphism. Thus the predual of \mathcal{M} is separable, so there is a normal faithful $*$ -homomorphism $\pi : \mathcal{M} \rightarrow B(H)$, and we can assume that π is unitarily equivalent to $\pi^{(\infty)}$. Hence we can assume that $\mathcal{A} \subseteq \mathcal{M} \subseteq B(H)$, $\mathcal{M} = \mathcal{A}''$, $id_{\mathcal{M}}$ is unitarily equivalent to $id_{\mathcal{M}}^{(\infty)}$ and that $\sigma : \mathcal{M} \rightarrow B(H)$ is a faithful normal homomorphism such that $\sigma|_{\mathcal{A}} = \rho$ and σ is unitarily equivalent to $\sigma^{(\infty)}$. It follows from the Pisier-Ringrose theorem [10], [17] that σ is ultra*strong-ultra*strong continuous. Since ρ and π have infinite multiplicity, we know that σ is SOT-SOT continuous on \mathcal{M}^{sa} .

It follows from direct integral theory that, up to unitary equivalence, there is a separable infinite-dimensional Hilbert space K and a probability measure space (Ω, μ) such that $H = L^2(\mu, K)$ and the center $\mathcal{Z}(\mathcal{M})$ of \mathcal{M} is

$$\{\varphi \in L^\infty(\mu, B(H)) : \varphi(\omega) \in \mathbb{C}1 \text{ a.e.}\}.$$

Hence $\mathcal{M} \subseteq \mathcal{Z}(\mathcal{M})' = L^\infty(\mu, B(H))$ and if $\{\varphi_1, \varphi_2, \dots\}$ is a selfadjoint norm-dense subset of the closed unit ball of \mathcal{A}^{sa} , and hence a strong-operator-dense subset of the closed unit ball of \mathcal{M}^{sa} , and, for each $\omega \in \Omega$, we let $\mathcal{M}_\omega = \{\varphi_1(\omega), \varphi_2(\omega), \dots\}''$, we have

$$\mathcal{M} = \int_{\Omega}^{\oplus} \mathcal{M}_\omega d\mu(\omega),$$

and \mathcal{M} is $\{\varphi \in L^\infty(\mu, B(H)) : \varphi(\omega) \in \mathcal{M}_\omega \text{ a.e.}\}$. Moreover, we can further assume that $\{\varphi_1(\omega), \varphi_2(\omega), \dots\}$ is strong-operator-dense in the closed unit ball of \mathcal{M}_ω^{sa} a.e., and that there is a measurable family $\{\pi_\omega : \omega \in \Omega\}$ of unital $*$ -homomorphisms from \mathcal{A} to $B(K)$ such that,

$$id_{\mathcal{A}} = \int_{\Omega}^{\oplus} \pi_\omega d\mu$$

and

$$\pi_\omega(\mathcal{A})'' = \mathcal{M}_\omega \text{ a.e.}$$

Now we want to look at the restriction of σ to $\mathcal{Z}(\mathcal{M})$, which is a bounded unital normal injective homomorphism with $\|\rho\| = \|\sigma\|$. Since $d(\mathcal{Z}(\mathcal{M})) = 2$, there is an invertible operator $S \in B(H)$ such that $\|S\| \|S^{-1}\| \leq \|\rho\|^2$ and such that $\sigma_1(\cdot) = S\sigma(\cdot)S^{-1}$ is an injective normal $*$ -homomorphism on $\mathcal{Z}(\mathcal{M})$. Hence

$$\|\sigma_1\| \leq \|\rho\|^2 \|\sigma\| = \|\rho\|^3.$$

Moreover, $\sigma(\cdot) = S\sigma_1(\cdot)S^{-1}$, so

$$\|\rho\|_{cb} = \|\sigma\|_{cb} \leq \|\rho\|^2 \|\sigma_1\|_{cb}.$$

Since σ_1 is unitarily equivalent to $\sigma_1^{(\infty)}$ and $id_{\mathcal{M}}$ is unitarily equivalent to $(id_{\mathcal{M}})^{(\infty)}$, we see that $\sigma_1|_{\mathcal{Z}(\mathcal{M})}$ is unitarily equivalent to $id_{\mathcal{Z}(\mathcal{M})}$. By putting this unitary with S , we can assume that $\sigma_1(T) = T$ for every $T \in \mathcal{Z}(\mathcal{M})$. This means that σ_1 is an $L^\infty(\mu) = \mathcal{Z}(\mathcal{M})$ module homomorphism.

Since $\mathcal{M} \subseteq \mathcal{Z}(\mathcal{M})'$, we know that

$$\sigma_1(\mathcal{M}) \subseteq \mathcal{Z}(\mathcal{M})' = L^\infty(\mu, B(K)).$$

Hence we can find functions $\psi_1, \psi_2, \dots \in L^\infty(\mu, B(K))$ such that

$$\sigma_1(\varphi_n) = \psi_n$$

for every $n \geq 1$.

It is important to note that the φ_n 's and ψ_n 's are actually representatives of equivalence classes since we identify functions that agree almost everywhere. So we must now take some care with sets of measure 0. First note that if $p(t_1, \dots, t_n)$ is a $*$ -polynomial, then

$$\sigma_1(p(\varphi_1, \dots, \varphi_n)) = p(\psi_1, \dots, \psi_n),$$

and

$$\|p(\psi_1, \dots, \psi_n)\|_\infty \leq \|\sigma_1\| \|p(\varphi_1, \dots, \varphi_n)\|_\infty.$$

We want to get a better estimate. For each $k \geq 1$, let E_k be the set of all $\omega \in \Omega$ such that

$$\|p(\psi_1(\omega), \dots, \psi_n(\omega))\| > \|\sigma_1\| \|p(\varphi_1(\omega), \dots, \varphi_n(\omega))\| + \frac{1}{k}.$$

Since σ_1 is an $L^\infty(\mu) = \mathcal{Z}(\mathcal{M})$ module homomorphism, we have

$$\sigma_1(\chi_{E_k} p(\varphi_1, \dots, \varphi_n)) = \chi_{E_k} p(\psi_1, \dots, \psi_n),$$

and

$$\|\chi_{E_k} p(\psi_1, \dots, \psi_n)\|_\infty \leq \|\sigma_1\| \|\chi_{E_k} p(\varphi_1, \dots, \varphi_n)\|_\infty.$$

It follows that $\mu(E_k) = 0$. Hence $\mu(\cup_{n \geq 1} E_k) = 0$. Therefore

$$\|p(\psi_1(\omega), \dots, \psi_n(\omega))\| \leq \|\sigma_1\| \|p(\varphi_1(\omega), \dots, \varphi_n(\omega))\| \text{ a.e.}$$

Now we have the following claim.

Claim 8.1: Let \mathcal{P} denote the set of $$ -polynomials with coefficients in $\mathbb{C}_{\mathbb{Q}} = \mathbb{Q} + i\mathbb{Q}$.*

We then have

$$\|p(\psi_1(\omega), \dots, \psi_n(\omega))\| \leq \|\sigma_1\| \|p(\varphi_1(\omega), \dots, \varphi_n(\omega))\| \text{ a.e.}$$

for every $p \in \mathcal{P}$. By removing a set of measure zero from Ω , we can assume that the above relation hold for every $\omega \in \Omega$.

Let $\{1 = \gamma_1, \gamma_2, \dots\}$ be an orthonormal basis for $L^2(\mu)$ and let $\{e_1, e_2, \dots\}$ be an orthonormal basis for K . Define $u_{i,k} \in H$ by

$$u_{i,k}(\omega) = \gamma_i(\omega) e_k, \quad \forall i, k \in \mathbb{N}.$$

It is well known that $\{u_{i,k} : i, k \in \mathbb{N}\}$ is an orthonormal basis for $H = L^2(\mu, K)$. We can define a metric d_H on $B(H)$ by

$$d_H(S, T)^2 = \sum_{i,k=1}^{\infty} \frac{\|(S - T)u_{i,k}\|^2}{2^{i+k}}, \quad \forall S, T \in B(H)$$

and a metric d_K on $B(K)$ by

$$d_K(S, T)^2 = \sum_{k=1}^{\infty} \frac{\|(S - T)e_k\|^2}{2^k}, \quad \forall S, T \in B(K).$$

On bounded subsets of $B(H)$ (respectively, $B(K)$) the metric d_H (respectively, d_K) induces the strong operator topology.

We know that $\sigma : \mathcal{M} \rightarrow B(H)$ is ultrastrongly-ultrastrongly continuous on \mathcal{M}^{sa} . It follows that σ_1 is uniformly continuous on the closed unit ball \mathcal{B} of \mathcal{M}^{sa} , since if $\{S_n\}, \{T_n\}$ are sequences in \mathcal{B} , we know $d_H(S_n, T_n) \rightarrow 0$ if and only if $S_n - T_n \rightarrow 0$ ultrastrongly, implying $\sigma_1(S_n - T_n) = \sigma_1(S_n) - \sigma_1(T_n) \rightarrow 0$ ultrastrongly, which implies $d_H(S_n, T_n) \rightarrow 0$. Therefore, we have the following claim.

Claim 8.2: Suppose $s, r \in \mathbb{N}$. Then there is a $t_{r,s} \in \mathbb{N}$ such that, for every $S, T \in \mathcal{B}$, we have

$$d_H(S, T) < \frac{1}{t_{r,s}} \Rightarrow d_H(\sigma_1(S), \sigma_1(T)) < \frac{1}{rs}.$$

Let \mathcal{P}_1 be the set of $p \in \mathcal{P}$ such that $\|p(\psi_1, \psi_2, \dots)\| \leq 1$ and $p(\psi_1, \psi_2, \dots) = p(\psi_1, \psi_2, \dots)^*$, and write

$$\mathcal{P}_1 \times \mathcal{P}_1 = \{(p_1, q_1), (p_2, q_2), \dots\}.$$

Let r, s be in \mathbb{N} and $t_{r,s}$ be as in Claim 8.2. Now suppose $j \in \mathbb{N}$, and let $E_{j,r,s}$ denote the set of all $\omega \in \Omega$ such that

$$d_K(p_j(\psi_1(\omega), \dots, \psi_n(\omega)), q_j(\psi_1(\omega), \dots, \psi_n(\omega)))^2 < \frac{1}{t_{r,s}},$$

and

$$d_K(p_j(\varphi_1(\omega), \dots, \varphi_n(\omega)), q_j(\varphi_1(\omega), \dots, \varphi_n(\omega)))^2 \geq \frac{1}{s}.$$

Let $F_{1,r,s} = E_{1,r,s}$ and let $F_{j+1,r,s} = E_{j+1,r,s} \setminus \cup_{1 \leq i \leq j} E_{i,r,s}$ for $j \in \mathbb{N}$. Hence if $S = \sum_{j=1}^{\infty} \chi_{F_{j,r,s}} p_j(\psi_1, \dots, \psi_n)$ and $T = \sum_{j=1}^{\infty} \chi_{F_{j,r,s}} q_j(\psi_1, \dots, \psi_n)$, we have, for $i, k \in \mathbb{N}$, that

$$\begin{aligned} & \| (S - T) u_{i,k} \|^2 \\ &= \sum_{j=1}^{\infty} \int_{F_{j,r,s}} |\gamma_i(\omega)|^2 \| (p_j(\psi_1(\omega), \dots, \psi_n(\omega)) - q_j(\psi_1(\omega), \dots, \psi_n(\omega))) e_k \|^2 d\mu \end{aligned}$$

and

$$\begin{aligned} d_H(S, T)^2 &= \sum_{i,k=1}^{\infty} \frac{\| (S - T) u_{i,k} \|^2}{2^{i+k}} \\ &= \sum_{i,j=1}^{\infty} \int_{F_{j,r,s}} \left(\sum_{k=1}^{\infty} \frac{|\gamma_i(\omega)|^2 \| (p_j(\psi_1(\omega), \dots, \psi_n(\omega)) - q_j(\psi_1(\omega), \dots, \psi_n(\omega))) e_k \|^2}{2^{i+k}} \right) d\mu \\ &< \sum_{i=1}^{\infty} \frac{1}{t_{r,s}} \frac{1}{2^i} \int_{\Omega} |\gamma_i(\omega)|^2 d\mu = \frac{1}{t_{r,s}}. \end{aligned}$$

Thus, by Claim 8.2, we have $d_H(\sigma_1(S), \sigma_1(T)) < \frac{1}{rs}$. However, since $\gamma_1(\omega) = 1$, we see that

$$\begin{aligned} & \| (\sigma_1(S) - \sigma_1(T)) u_{1,k} \|^2 = \\ & \sum_{j=1}^{\infty} \int_{F_{j,r,s}} \| (p_j(\varphi_1(\omega), \dots, \varphi_n(\omega)) - q_j(\psi_1(\omega), \dots, \psi_n(\omega))) e_k \|^2 d\mu, \end{aligned}$$

which implies that

$$\begin{aligned} & \frac{1}{rs} > d_H(\sigma_1(S), \sigma_1(T)) \\ & \geq \sum_{k=1}^{\infty} \frac{\| (\sigma_1(S) - \sigma_1(T)) u_{1,k} \|^2}{2^{1+k}} \\ & \geq \frac{1}{2} \sum_{j=1}^{\infty} \int_{F_{j,r,s}} \sum_{k=1}^{\infty} \frac{\| (p_j(\varphi_1(\omega), \dots, \varphi_n(\omega)) - q_j(\psi_1(\omega), \dots, \psi_n(\omega))) e_k \|^2}{2^k} d\mu \\ & = \frac{1}{2} \sum_{j=1}^{\infty} \int_{F_{j,r,s}} d_K(p_j(\varphi_1(\omega), \dots, \varphi_n(\omega)), q_j(\psi_1(\omega), \dots, \psi_n(\omega)))^2 d\mu \\ & \geq \frac{1}{2} \sum_{j=1}^{\infty} \int_{F_{j,r,s}} \frac{1}{s} d\mu = \frac{1}{2s} \mu(\cup_{j=1}^{\infty} F_{j,r,s}) \end{aligned}$$

Hence

$$\frac{2}{r} > \mu(\cup_{j=1}^{\infty} F_{j,r,s}) = \mu(\cup_{j=1}^{\infty} E_{j,r,s}).$$

Let $W_{r,s} = \cup_{j=1}^{\infty} E_{j,r,s}$. Then $W_{r,s}$ is precisely the set of all $\omega \in \Omega$ for which there is a $(p, q) \in \mathcal{P}_1 \times \mathcal{P}_1$ such that

$$d_K(p(\psi_1(\omega), \dots, \psi_n(\omega)), q(\psi_1(\omega), \dots, \psi_n(\omega)))^2 < \frac{1}{t_{r,s}}$$

and

$$d_K(p_j(\varphi_1(\omega), \dots, \varphi_n(\omega)), q_j(\varphi_1(\omega), \dots, \varphi_n(\omega)))^2 \geq \frac{1}{s}.$$

It follows that

$$\mu(\cup_{s=1}^{\infty} \cap_{r=1}^{\infty} W_{r,s}) = 0.$$

Hence, if we throw away another set of measure 0, we can assume that

$$\cup_{s=1}^{\infty} \cap_{r=1}^{\infty} W_{r,s} = \emptyset,$$

which implies that

$$\cap_{s=1}^{\infty} \cup_{r=1}^{\infty} (\Omega \setminus W_{r,s}) = \Omega.$$

This means that

Claim 8.3: for every $\omega \in \Omega$ and every $s \in \mathbb{N}$ there are an $r \in \mathbb{N}$ and, thus, a $t_{r,s} \in \mathbb{N}$ (as in Claim 8.2) such that $\omega \notin W_{r,s}$, i.e., for every $(p, q) \in \mathcal{P}_1 \times \mathcal{P}_1$,

$$d_K(p(\psi_1(\omega), \dots, \psi_n(\omega)), q(\psi_1(\omega), \dots, \psi_n(\omega)))^2 < \frac{1}{t_{r,s}}$$

implies that

$$d_K(p(\psi_1(\omega), \dots, \psi_n(\omega)), q(\psi_1(\omega), \dots, \psi_n(\omega)))^2 < \frac{1}{s}.$$

It follows from Claim 8.1 that, for each $\omega \in \Omega$ and each $p \in \mathcal{P}$,

$$\alpha_{\omega}(p(\psi_1(\omega), \psi_2(\omega), \dots)) = p(\varphi_1(\omega), \varphi_2(\omega), \dots)$$

defines a unital algebra homomorphism α_{ω} from

$$\{p(\psi_1(\omega), \psi_2(\omega), \dots) : p \in \mathcal{P}\}$$

to

$$\{p(\varphi_1(\omega), \varphi_2(\omega), \dots) : p \in \mathcal{P}\}$$

with $\|\alpha_{\omega}\| \leq \|\sigma_1\| \leq \|\rho\|^3$. The Claim 8.3 shows that, for each $\omega \in \Omega$, α_{ω} is d_K - d_K uniformly continuous on \mathcal{P}_1 . Hence α_{ω} uniquely extends to a unital algebra homomorphism from \mathcal{M}_{ω} that is ultrastrong-ultrastrong continuous on the unit ball of $\mathcal{M}_{\omega}^{sa}$. It follows that if $\psi \in L^{\infty}(\mu, B(K))$ is in \mathcal{M} and $\sigma_1(\psi) = \varphi \in L^{\infty}(\mu, B(K))$, then, for every $\omega \in \Omega$,

$$\varphi(\omega) = \alpha_{\omega}(\psi(\omega)).$$

It follows that

$$\|\sigma_1\|_{cb} \leq \sup_{\omega \in \Omega} \|\alpha_{\omega}\|_{cb}.$$

If the factor \mathcal{M}_ω has type I, then it is hyperfinite, so $\hat{d}_*(\mathcal{M}_\omega) \leq 2$. If \mathcal{M}_ω has type II_∞ or type III, then U. Haagerup's result [2] implies $\hat{d}_*(\mathcal{M}_\omega) \leq 3$. If the factor \mathcal{M}_ω has type II_1 , then $\hat{d}_*(\mathcal{M}_\omega) \leq \hat{d}_{tr}(\mathcal{A})$ by Definition 7. Hence,

$$\sup_{\omega \in \Omega} \hat{d}_*(\mathcal{M}_\omega) \leq \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right).$$

Therefore

$$\|\sigma_1\|_{cb} \leq \sup_{\omega \in \Omega} \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right) \|\alpha_\omega\|^{\max(3, \hat{d}_{tr}(\mathcal{A}))} \leq \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right) \|\rho\|^{3 \max(3, \hat{d}_{tr}(\mathcal{A}))},$$

and

$$\|\rho\|_{cb} = \|\sigma\|_{cb} \leq \|\sigma_1\|_{cb} \|\rho\|^2 \leq 3 \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right) \|\rho\|^{2+3 \max(3, \hat{d}_{tr}(\mathcal{A}))}.$$

It follows that

$$d(\mathcal{A}) \leq \hat{d}(\mathcal{A}) \leq 2 + 3 \max \left(3, \hat{d}_{tr}(\mathcal{A}) \right).$$

□

Erik Christensen [1] proved that if \mathcal{M} is a II_1 factor with property Γ , then $d(\mathcal{M}) = 3$ and $\kappa(\mathcal{M}) = 1$, which means $\hat{d}(\mathcal{M}) \leq 3$. We will not name or try to give an internal description of the class of C^* -algebras in the following Corollary, but we note that it clearly contains the class of weakly approximately divisible C^* -algebras defined in [4].

COROLLARY 9. *Suppose \mathcal{A} is a separable unital C^* -algebra with that property that $\pi_\tau(\mathcal{A})''$ has property Γ for every factor tracial state τ on \mathcal{A} . Then*

$$d(\mathcal{A}) \leq \hat{d}(\mathcal{A}) \leq 11.$$

Note that the following corollary relates $d(C^*(\mathbb{F}_n))$ and $\hat{d}_*(\mathcal{L}_{\mathbb{F}_n})$ for each integer $n \geq 2$.

COROLLARY 10. *If \mathcal{A} is a separable unital C^* -algebra with a unique tracial state τ , then*

$$d(\mathcal{M}_\tau(\mathcal{A})) \leq \hat{d}_*(\mathcal{M}_\tau(\mathcal{A})) \leq d(\mathcal{A}) \leq 2 + 3 \max \left(3, \hat{d}_*(\mathcal{M}_\tau(\mathcal{A})) \right).$$

We now apply our results to certain crossed products.

Suppose $n \geq 2$ is a positive integer and let \mathbb{G}_n denote the group generated by the $n \times n$ diagonal unitary matrices and the permutation matrices. We define an action α on $C_r^*(\mathbb{F}_n)$ with standard unitary generators u_1, \dots, u_n , so that if $g = DV_\sigma$ with $D = \text{diag}(\lambda_1, \dots, \lambda_n)$ and V_σ is the permutation matrix corresponding to $\sigma \in S_n$, we let $\alpha(g)$ be the automorphism of $C_r^*(\mathbb{F}_n)$ that sends u_k to $\lambda_k u_{\sigma(k)}$.

COROLLARY 11. *Suppose $n \geq 2$ and H is an abelian subgroup of \mathbb{G}_n that is not torsion (i.e., H contains at least one element of infinite order). Then*

$$\hat{d}_{tr}(C_r^*(\mathbb{F}_n) \rtimes_\alpha H) \leq 3,$$

and

$$d(C_r^*(\mathbb{F}_n) \rtimes_\alpha H) \leq 11.$$

PROOF. Suppose $h \in H$ has infinite order. Then $h^{n!} \neq I_n$ (the $n \times n$ identity matrix, which is the identity element of \mathbb{G}_n). However, $h^{n!}$ must be a diagonal matrix $D = \text{diag}(\lambda_1, \dots, \lambda_n)$. Moreover, $h^{n!}$ has infinite order, so λ_{j_0} must be irrational for some $1 \leq j_0 \leq n$. We also know that there is an increasing sequence $\{m_k\}$ in \mathbb{N} such that $D^{m_k} \rightarrow I_n$, i.e., $\lim_{k \rightarrow \infty} \lambda_j^{m_k} = 1$ for $1 \leq j \leq n$.

Let $W = W_{h^{n!}}$ be the unitary in $C_r^*(\mathbb{F}_n) \rtimes_\alpha H$ corresponding to $h^{n!}$, so that, for every $A \in C_r^*(\mathbb{F}_n)$,

$$WAW^* = \alpha(h^{n!})(A).$$

Since

$$\|W^{m_k} u_j (W^{m_k})^* - u_j\| = |\lambda_j^{m_k} - 1| \|u_j\| \rightarrow 0$$

and, since H is abelian $WW_g = W_g W$ for every $g \in H$. Hence

$$\|W^{m_k} T - TW^{m_k}\| \rightarrow 0$$

for every $T \in C_r^*(\mathbb{F}_n) \rtimes_\alpha H$.

Next suppose τ is a tracial state on $C_r^*(\mathbb{F}_n) \rtimes_\alpha H$ and m is a positive integer. Since

$$W^m u_{j_0} = \lambda_{j_0}^m u_{j_0} W^m,$$

we conclude that $\tau(W^m) = 0$. It follows that $\mathcal{M}_\tau(C_r^*(\mathbb{F}_n) \rtimes_\alpha H)$ is a von Neumann algebra with property Γ , so by [1],

$$\hat{d}_*(\mathcal{M}_\tau(C_r^*(\mathbb{F}_n) \rtimes_\alpha H))'' \leq 3.$$

Hence

$$\hat{d}_{tr}(C_r^*(\mathbb{F}_n) \rtimes_\alpha H) \leq 3,$$

and

$$d(C_r^*(\mathbb{F}_n) \rtimes_\alpha H) \leq 11.$$

□

REMARK 12. We conclude by reminding the reader of the equivalent formulations of Kadison's similarity problem (see, e.g., [14]) so, for example, showing $d(\mathcal{A}) < \infty$ implies that if $\pi : \mathcal{A} \rightarrow B(H)$ is a unital $*$ -homomorphism and $T \in B(H)$ and $T(H)$ is invariant for every operator in $\pi(\mathcal{A})$, then there is an $S \in \pi(\mathcal{A})'$ such that $S(H) = T(H)$. Moreover, there is a $K \geq 1$ such that, for every $W \in B(H)$,

$$\text{dist}(W, \pi(\mathcal{A})') \leq K \sup \{\|\pi(U)W - W\pi(U)\| : U \in \mathcal{A}, U \text{ unitary}\}.$$

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